

Occlusion Is Hard: Comparing Predictive Reaching for Visible and Hidden Objects in Infants and Adults

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Abstract

Infants can anticipate the future location of a moving object and execute a predictive reach to intercept the object. When a moving object is temporarily hidden by darkness or occlusion, 6-month-old infants' reaching is perturbed but performance on darkness trials is significantly better than occlusion trials. How does this reaching behavior change over development? Experiment 1 tested predictive reaching of 6- and 9-month-old infants. While there was an increase in the overall number of reaches with increasing age, there were significantly fewer predictive reaches during the occlusion compared to visible trials and no age-related changes in this pattern. The decrease in performance found in Experiment 1 is likely to apply not only to the object representations formed by infants but also those formed by adults. In Experiment 2 we tested adults with a similar reaching task. Like infants, the adults were most accurate when the target was continuously visible and performance in darkness trials was significantly better than occlusion trials, providing evidence that there is something specific about occlusion that makes it more difficult than merely lack of visibility. Together, these findings suggest that infants' and adults' capacities to represent objects have similar signatures throughout development.

Keywords: Occlusion; Reaching; Infancy

1. Introduction

Occlusion happens. Whether you are searching for keys in a cluttered room or trying to track a ball while it passes behind your tennis partner, we are often maintaining expectations about objects that are temporarily hidden. This is a universal problem not specific to age,

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1 SES, culture, or gender. As we move around the environment many objects pass from visi-
2 ble to occluded and occluded to visible. Adults have extensive experience with these types
3 of transitions and are able to maintain a coherent understanding of objects in the immediate
4 environment and predict how objects should behave and interact. This knowledge allows us
5 to organize our actions towards objects. The development of object knowledge has been the
6 focus of research for over 50 years (Piaget, 1954). One general consensus that has emerged
7 from the literature is that the relationship between object representation and action is com-
8 plex (Gredeback & von Hofsten, 2007). There are two mountains of evidence concerning
9 knowledge about occluded objects over development and surprisingly they point in opposite
10 directions.

11 One set of findings suggests that the ability to represent objects that are occluded is evi-
12 dent in infancy and continuous through development. Two-month-old infants have expecta-
13 tions about the location, solidity, and persistence of hidden objects (Aguiar & Baillargeon,
14 1999; Hespos & Baillargeon, 2001b; Spelke, Breinlinger, Macomber, & Jacobson, 1992).
15 Although these early representations are not mature, further research suggests that over the
16 course of the first year infants gradually come to represent information about object width,
17 height, shape, pattern, and color (Aguiar & Baillargeon, 2003; Hespos & Baillargeon,
18 2001a; Wang, Baillargeon, & Brueckner, 2004; Wilcox & Chapa, 2004; Wilcox &
19 Schweinle, 2002). Representing occluded objects is not specific to humans. Non-human pri-
20 mates are able to track the number, location, and trajectory of hidden objects (Filion,
21 Washburn, & Gulledge, 1996; Hauser, MacNeilage, & Ware, 1996a; Santos, 2004). These
22 findings suggest that the ability to represent occluded objects is evident early and shared by
23 other species, and that the developmental changes are processes of elaboration and
24 refinement.

25 Experiments on object perception provide further support for this view and lend insight
26 to the nature of their underlying mechanisms. Object perception has been well studied at the
27 behavioral level in infants over the past 20 years (for reviews see, Baillargeon, 2004;
28 Kellman & Arterberry, 1998; Spelke & Newport, 1998). This research has charted the
29 developmental time course of a variety of central aspects of object perception including fig-
30 ure-ground organization (Termine, Hrynck, Kestenbaum, Gleitman, & Spelke, 1987),
31 object and face discrimination (Bushnell, Sai, & Mullin, 1989), size and shape constancy
32 (Slater, Mattock, & Brown, 1990), perception of shape over changes in location and size
33 (Milewski, 1979), perception of partly occluded forms and objects (Kawabata, Gyoba,
34 Inoue, & Ohtsubo, 1999; Kellman & Spelke, 1983) and categorization of objects into
35 domains such as faces, animals, and artifacts (Mandler, 1992; Quinn & Eimas, 1998). In all
36 these cases, studies of young infants (birth—3 months) have found evidence for perceptual
37 abilities that resemble those of adults. Moreover, studies of older infants have found pro-
38 gressive changes in the efficiency and sensitivity of their perceptual processing. The general
39 conclusion gleaned from these studies is that the signatures of the infant and adult object
40 perceptual systems are similar, providing evidence for a common underlying mechanism
41 guiding behavior in these instances.

42 While the findings described above portray continuity in the ability to represent occluded
43 objects through development, there is a different mountain of evidence that suggests

1 discontinuity in actions toward occluded objects. Note that we draw a distinction between
2 infants' ability to represent and to act on an object that becomes hidden behind an occluder.
3 **1**Recent reports by Rosander and von Hofsten (2004) and von Hofsten, Kochukhova, and
4 **2**Rosander (2007) suggest that under simple occlusion conditions, infants as young as
5 4 months engage in predictive tracking, taking into account the speed and path of the object
6 to correctly anticipate its reappearance at the far edge of an occluder. However, there is no
7 positive evidence that infants younger than 3 months consistently anticipate the reappear-
8 ance of an object that moves behind an occluder (Rosander & von Hofsten 2004). Taken
9 together there appears to be a discontinuity between the knowledge revealed in infants'
10 successful representation of occluded objects at 2 months of age and the inability to engage
11 in predictive tracking of occluded objects until 4 months of age.

12 Further evidence of the discontinuity comes from action tasks requiring infants to retrieve
13 hidden objects. For example, infants fail to retrieve hidden objects until about 9 months of
14 age (Diamond & Lee, 2000; Piaget, 1954). Moreover, children perform surprisingly poorly
15 on manual search tasks that were modeled after looking time studies. Two-year-old children
16 viewed a ball rolling down a ramp toward a barrier. The ramp was mostly hidden behind a
17 screen with four doors that could be opened to access the ball where it stopped against a bar-
18 rier positioned on the ramp. The toddlers were encouraged to retrieve the ball by opening
19 one of four doors in the screen. Surprisingly, toddlers choose among the doors at random,
20 apparently oblivious to the relations between the ball, ramp, and barrier (Berthier, DeBlois,
21 Poirier, Novak, & Clifton, 2000). This robust finding has been replicated numerous times in
22 experiments that varied the direction of motion (e.g., vertical, left, and right)(Hood, Carey,
23 & Prasada, 2000; Powell, Berthier, & Moore, 1979), used a transparent screen so that the
24 children could see the object traverse between each door (Butler, Berthier, & Clifton, 2002),
25 measured the amount of visual tracking during performance (Mash, Keen, & Berthier,
26 2003), and varied the proximity of a visual cue that reminded the participants where the
27 object was located above the screen (Shutts, Keen, & Spelke, 2006). Toddlers' failures to
28 perform a successful reach were especially striking because two additional studies confirmed
29 that, like infants, toddlers looked longer at an event that revealed the ball in an impossible
30 position (Hood, Cole-Davies, & Dias, 2003; Mash, Novak, Berthier, & Keen, 2006).

31 If we evaluate knowledge about objects solely on these reaching studies, then it appears
32 that there are qualitative differences in the cognitive capacities of children and adults. Data
33 from these action tasks suggest that tracking occluded objects has a protracted development,
34 emerges in a piecemeal fashion for most of the first year, and is still a fragile system in tod-
35 dlers. Thus, there appears to be a discontinuity between infants' ability to represent and to
36 act on an object that becomes hidden behind an occluder.

37 38 1.1. *The present research*

39
40 These apparently inconsistent findings are puzzling in light of the fact that representing
41 and acting on objects appears to require the same knowledge. Standing in the valley between
42 these two mountains of evidence about objects we ask: Why do infants perform so badly on
43 Piaget's search tasks? Why do toddlers fail to retrieve a toy rolled down a ramp?

1 One possible answer to these questions is that object representations in infants are subject
2 to two general limits found in studies of adults. According to the visibility hypothesis, one
3 limit stems from effects of memory on representations: When an object ceases to be visible,
4 its existence and location must be remembered, and the precision of this memory may
5 decline as the length of occlusion increases. According to the competition hypothesis, a sec-
6 ond limit stems from the effects of attention on representations: Multiple objects in a scene
7 may compete for attention, and this competition may reduce the precision with which each
8 object is represented, whether it is visible or hidden. Infants and children may show evi-
9 dence of representing occluded objects in looking time studies, but not reaching studies,
10 because reaching requires a more precise representation of an object's position, shape and
11 motion (Goodale & Milner, 1995).

12 Jonsson and von Hofsten (2003) compared infants' predictive reaching for objects that
13 were hidden by darkness or occlusion for different durations of time. The experiment
14 revealed three effects. First, infants reached most frequently and accurately when the object
15 was continuously visible. Second, reaching was more impaired by longer than by shorter
16 periods of hiding. Both of these findings are consistent with the visibility hypothesis. Third,
17 reaching was more impaired by occlusion than by darkness. The competition hypothesis,
18 motivated by studies of object-directed attention in adults (e.g., Alvarez & Franconeri,
19 2007), can account for this effect: representations of distinct objects may compete for atten-
20 tion, reducing the precision of each object representation as the number of other objects in
21 the scene increases (Jonsson & von Hofsten, 2003; Munakata & Stedron, 2002). When an
22 object is hidden behind an occluder, it may suffer a double loss of precision due both to its
23 lack of visibility and to competition from its visible occluder. In contrast, an object that van-
24 ishes into darkness suffers a loss of precision only because of its lack of visibility and so
25 should be represented more precisely than an occluded object, though less precisely than a
26 visible one.

27 The visibility and competition hypotheses support a key developmental prediction:
28 occlusion and darkness should have the same effects on object representations at all ages.
29 To test this prediction, we created a situation that distinguished representational abilities
30 from the abilities involved in trying to coordinate an action toward an object. The experi-
31 ments presented a reaching task that required representing occluded objects but did not
32 require execution of a complex motor response. We presented this task to infants who strad-
33 dle the ages over which Piagetian search tasks revealed developmental discontinuities. In
34 addition, we also tested adults who are well beyond the age of the discontinuous changes
35 found in children. If there is a dramatic improvement in reaching for an occluded object,
36 relative to reaching for a visible object between 6 and 9 months of age, that finding would
37 suggest that older infants and adults process these events in qualitatively different ways
38 from younger infants. However, difficulty with occlusion trials at 9 months and in adults,
39 would support the view that there are common mechanisms for representing hidden objects
40 across development.

41 In these experiments, we used a predictive reaching task. We discriminate between a pre-
42 dictive reach (one initiated before the object enters the reaching space) and a reactive reach
43 (one initiated after the object enters the reaching space). von Hofsten (1980) was the first to

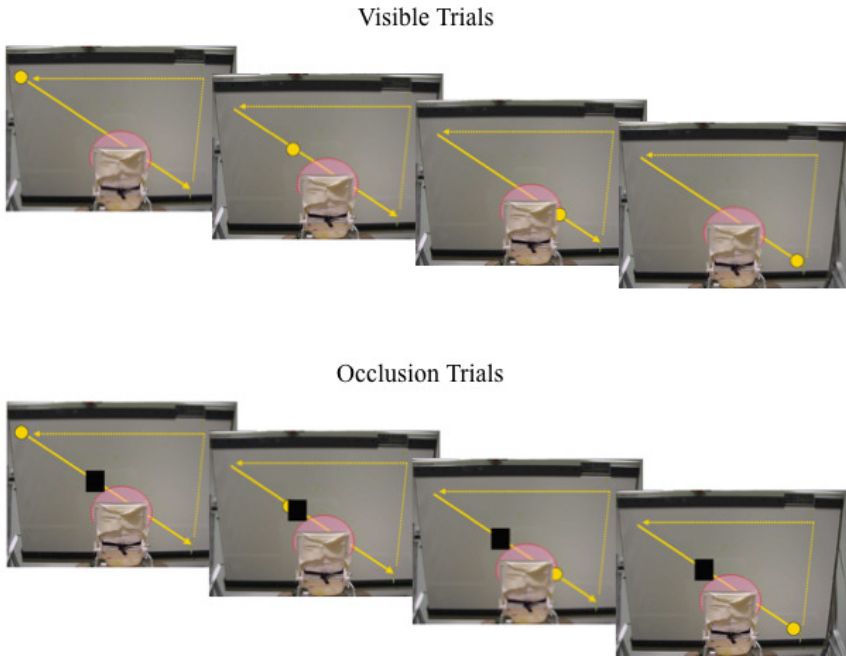
1 show that young infants can make a predictive reach for moving objects. When a continu-
2 ously visible, out-of-reach object begins to move smoothly toward them, infants as young as
3 4 months typically will attempt to grab the object, initiating their action before the object
4 enters their reaching space and aiming ahead of the object's current position so as to inter-
5 cept it when it comes within their range (von Hofsten, 1980; von Hofsten, Vishton, Spelke,
6 Feng, & Rosander, 1998).

7 Predictive reaching experiments can serve to dissociate two effects of an occluder that
8 otherwise are confounded: its effects on the visibility of an object and its effects on the
9 actions needed to obtain the object. Spelke and von Hofsten (2001) therefore compared
10 infants' predictive reaching with and without an occluder placed over a portion of the
11 object's trajectory, such that the object was hidden briefly before it entered infants' reaching
12 space. Because the occluder was positioned outside the reaching area, it did not serve as a
13 physical barrier to infants' reaching: The action demands were identical in the visible and
14 occluded trials. Moreover, infants' head tracking in trials with the occluder suggested that
15 they tracked the hidden object, because their head moved in anticipation of the object
16 emerging from the other side of the occluder (Jonsson & von Hofsten, 2003; Spelke & von
17 Hofsten, 2001). Nevertheless, the presence of the occluder interfered with young infants'
18 ability to perform a predictive reach. Predictive reaching was impaired by an occluder that
19 blocked infants' view of the object, even though it did not affect the motor actions needed
20 to retrieve the object.

23 2. Experiment 1

24
25 We replicated Spelke and von Hofsten's (2001) findings with young infants and extended
26 them to older infants. We coded predictive and reactive reaches. The reactive reaches pro-
27 vided a measure of overall engagement in the task. Our principal analyses focused on pre-
28 dictive reaches, however, because they are anticipatory acts and hence more likely to be
29 influenced by object representations. To encourage predictive reaching, we moved the
30 object at a quick speed such that a reactive reach, initiated once the object was within reach,
31 was unlikely to intercept the object. The independent variable that we manipulated was
32 whether the object was visible or occluded during the critical window when the predictive
33 reach needed to begin.

34 Infants aged 6 and 9 months were seated in an infant seat in front of a large white board
35 (Fig. 1). A small toy with a rattle inside was given to the infants to explore. After a short
36 time, the toy was taken and placed on the board out of the infant's reach. The infant's atten-
37 tion was drawn to the toy and the toy moved in a diagonal, linear trajectory across the
38 infant's reaching space in the fronto-parallel plane. The object came within reach during a
39 short period when it was right in front of the infant. The infant was encouraged to reach/
40 catch the moving object and remove it from the board. The experiment included 24 trials.
41 During the first and last six trials the object was visible throughout its motion to establish
42 baseline reaching activity. During the 12 middle trials, the object was temporarily hidden
43 when it passed under a tunnel. The interval of non-visibility was 600 ms. Piaget's search



7 Fig. 1. Schematic of the set up used in Experiment 1. The infant seat was centered in front of a large white board. The yellow circle signifies the toy. The solid line is the toy's trajectory during the trial and the dotted line was the path the toy took between trials. The pink shaded region represents the infant's reaching space and the black rectangle represents the size and position of the occluder.

task where infants retrieve a hidden object suggests that there is a qualitative shift in performance between 6 and 9 months. If there is discontinuity in infants' performance when an object becomes temporarily occluded, then the older infants should show improved performance in occlusion trials relative to the younger infants. However, if failures in reaching performance are due to the nature of the representation then both age groups should have fewer predictive reaches on trials with occlusion.

2.1. Method

2.1.1. Participants

The participants were 41 healthy, full-term infants (20 male, 21 female). There were seventeen 6-month-olds (range = 6 months, 8 days to 7 months, 2 days, $M = 6$ months, 19 days), and twenty-four 9-month-olds (range = 8 months, 11 days to 10 months, 20 days $M = 9$ months, 6 days). The children were recruited by mail and came from the surrounding area. The parents were offered \$5 reimbursement for their travel expenses. Eleven additional infants were tested but eliminated from the final sample, five because of fussiness, four because the machine that moved the toy malfunctioned, and two refused to reach on any trial.

As stated above we were particularly interested in infants who performed predictive reaches instead of reactive reaches. Thirty infants (out of the entire sample of 41 infants) performed a predictive reach on at least one trial. There were thirteen 6-month-olds (range = 6 months, 8 days to 7 months, 2 days, $M = 6$ months, 20 days), and seventeen 9-month-olds (range = 8 months, 11 days to 10 months, 20 days, $M = 9$ months, 11 days). The average number of predictive reaches per child was 3 (2.07 for 6-month-old and 3.79 for the 8-month-old infants).

2.1.2. Display and apparatus

To be able to produce linear motion on a relatively large surface with precision, we used a computer-controlled plane plotter (Roland DPX-4600) whose pen was replaced with a small magnet (von Hofsten et al., 1998). The plotting area was topped with a sheet of aluminum that was painted white, coated with a silicone lubricant and placed on a supporting structure such that it tilted 15 degrees forward from the vertical position (this was identical to the degree that the infant was reclined in the infant seat and allowed the board to be at a comfortable position in front of the infant). The aluminum sheet served as the background for a toy, which was supported by a 10 cm wooden dowel attached to a magnet. When the magnet on the toy's supporting rod was placed on the aluminum sheet directly over the plotter magnet, the combined attraction held the toy in place and caused it to undergo whatever motion was produced by the plotter. By using the commands originally intended to direct the motion of the plotter pen, this apparatus enabled us to direct the motion of the toy very precisely.

The toy always moved in a linear diagonal path with constant velocity (30 cm/s) from the infant's upper left to their lower right (Fig. 1). The toy was a plush bear, approximately 12 cm tall and 5 cm wide. On trials involving occlusion, the occluder was positioned above and to the left of the infant's reaching space. The occluder was a table made out of black foam core. It was 15.8×16.71 cm, and 15 cm from the board. There were dowels in each of the four corners with white suction cups at the base that allowed them to remain secure against the white board.

To code the infant's actions we recorded two separate video images from perpendicular angles. The sagittal view was centered above the infant's midline to capture the left to right sweep of the reaching space and the lateral view was to the infant's right side to capture a top to bottom view of the reaching space. Using a video mixer the images from both cameras were recorded side by side on a single screen.

2.1.3. Design and procedure

Each infant did 24 trials. The experiment was divided into four blocks, of six trials each. During the first and last block the toy was fully visible. For the two middle blocks the toy was occluded during part of its motion. This ABBA design allowed us to test for learning effects by comparing reaching during the first and second half of the experiment to gauge overall engagement in the task.

When parents arrived with their child, the procedure was explained to them and they signed a written consent. The infant was given several minutes to play with the toy attached

1 to the magnet and to become accustomed to the new surroundings. The infant was placed in
2 a standard infant chair (Mothercare Inc.) approximately 25 cm from the white board, and
3 the toy was positioned on the board directly in front of the infant. In order to make the task
4 more attractive, the infants were given the opportunity to retrieve the toy from the white
5 board twice before the experiment started. The toy was then moved to the far upper left
6 position of the screen and the infant's attention was drawn to the toy, after which the experi-
7 menter stepped back and pressed a computer key to initiate the toy's motion.

8 During the experiment the toy always started out of reach in the upper left corner with
9 respect to the infant. The toy moved down and to the right on a diagonal trajectory at a con-
10 stant speed of 30 cm/s (Fig. 1). The motion path was 128 cm long and measured 83 cm in
11 the vertical dimension and 97 cm in the horizontal dimension. The periods of non-visibility
12 by occlusion started approximately 37 cm into the trajectory. The toy always became visible
13 again after 18 cm of occlusion. The toy passed in front of the infant's midpoint 64 cm into
14 the trajectory (at this point the toy had been in the infant's reaching space for about 13 cm).
15 The pink portion of the Fig. 1 represents the infant's reaching space. The black rectangle
16 represents the occluder. If the infant retrieved the object, it was gently removed from the
17 infant's hand and manually repositioned at the same starting position. The plotter made
18 a noise during motion but this noise did not originate from the moving object but rather
19 from the stationary motors of the plotter. It was not possible to determine the position of the
20 moving object from the noise made by the plotter.

21 2.1.4. Coding

22 During the experiment a trained observer watched the infant and recorded whether the
23 infant made any action toward the object at any time during the diagonal portion of the tra-
24 jectory. These behaviors were used as a general measure of engagement in the task.

25 Identification of predictive reaches was made from frame-by-frame analyses of the video
26 using the same technique as the other studies that have measured predictive reaching
27 (Jonsson & von Hofsten, 2003; Spelke & von Hofsten, 2001; von Hofsten et al., 1998). A
28 reach takes at least 300 ms to prepare and at least another 300 ms to carry out, reaches
29 arriving at the object on the left side of the midline had to be planned before the object reap-
30 peared. A predictive reach was coded when the hand came within 7.5 cm of the object in all
31 three dimensions of space for at least one frame during the period from when it had reap-
32 peared in the occlusion condition until it passed the midline. The 7.5 cm distance was the
33 maximum distance that an infant could be from the object with hopes of intercepting it
34 while it was within reach. For the purpose of comparison, the same criteria were applied
35 when coding reaches in Block 1 and 4 where the object was continuously visible.

36 For coding purposes, two circles with their centers marked were drawn on a sheet of
37 transparent plastic. The radius of the circles corresponded to 7.5 cm in each of the two video
38 projection planes (lateral and sagittal) and when the hand was within both of these circles it
39 was closer than 7.5 cm in all dimensions of space. To judge whether a reach was within
40 7.5 cm of the object in each of the two projection planes, the plastic sheet was placed over
41 the video screen with the center of the appropriate circle positioned at the center of the
42 object seen on the video. If the hand was within the circle on each of the video projections,
43

a predictive reach was coded. All trials were coded by two people independently. Cohen's kappa was found to be 0.92.

2.2. Results

The data were analyzed for the total number of reaches (predictive and reactive, $n = 41$) across trials to assess overall engagement in the task and learning effects over trials. We found no significant difference in number of reaches comparing the first half of the experiment ($M = 64\%$ of trials) to the second half of the experiment [$M = 56\%$ of trials, $t(81) = 1.60$, $p = .11$] suggesting that infants maintained interest in the task throughout the experiment.

Next we analyzed the predictive reaching data ($n = 30$) by analysis of variance (ANOVA) with the within-subject factors of condition (visible or occluded) and block (first or second) and age as a between-subject variable (6 or 9 months). The analysis revealed a significant main effect for condition [$F(1, 28) = 21.87$, $p < .001$, $\eta^2 = 0.44$] demonstrating that infants made more predictive reaches during visible ($M = 3.05$) compared to occluded ($M = 1.78$) trials (Fig. 2). There was a significant main effect of age [$F(1, 28) = 8.63$, $p = .007$, $\eta^2 = 0.24$] suggesting that as infants got older they reached more often regardless of condition (M for 6 months = 1.52, M for 9 months = 3.10). The interaction between condition and age was not significant [$F(1, 28) < 1$] (Fig. 3).

Examination of the participants' individual responses revealed that 22 out of 30 infants had more predictive reaches during the visible than the occluded trials (cumulative binomial probability, $p = .008$). Further analysis revealed that the pattern of decreased reaching during the occluded compared to the visible trials was upheld in each age group independently: for 6-month-olds [$F(1, 12) = 9.67$, $p = .009$, $\eta^2 = 0.45$], for 9-month-olds [$F(1, 16) = 13.41$, $p = .002$, $\eta^2 = 0.46$].

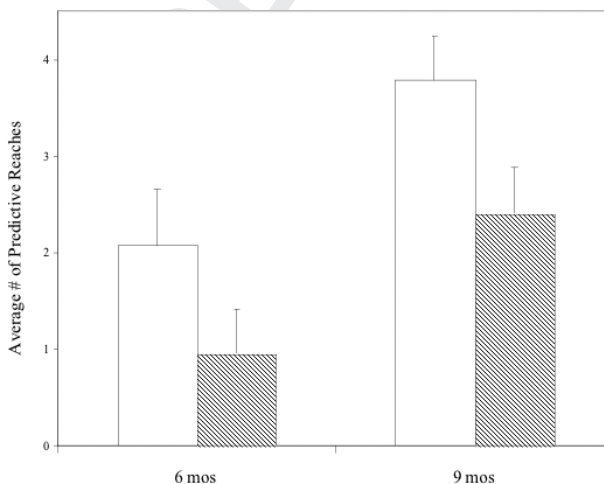
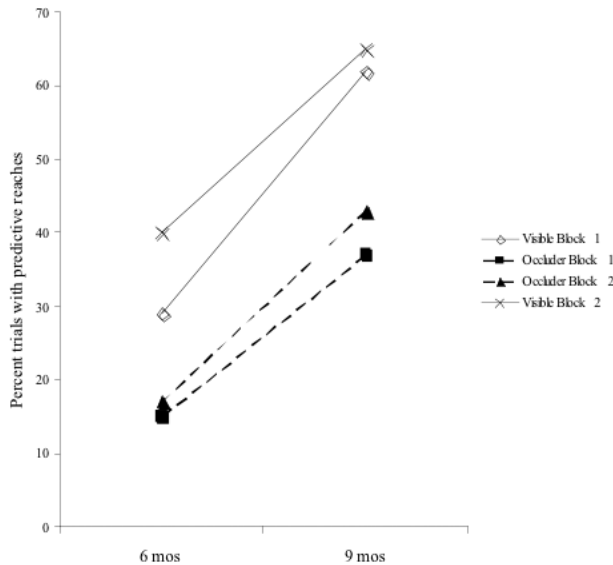


Fig. 2. Results from Experiment 1. Average number of predictive reaches during visible (white) and occlusion (lines) conditions. Error bars represent standard error.



18 **9**Fig. 3. Results from Experiment 1. Percentage of trials that had predictive reaching. Solid lines represent visible
19 trials and dashed lines were occlusion trials.

20
21

2.3. Discussion

22
23
24 There were two main findings from this experiment. First, there was a significant increase
25 in the number of reaches over development. Regardless of whether the object was visible or
26 occluded, 9-month-old infants reached significantly more often than 6-month-old infants.
27 The second main finding was that as a group and as individuals, infants showed a significant
28 decrease in reaching during occlusion trials. More specifically, as infants get older there is
29 an increase in the number of predictive reaches, but the influence of occlusion remained
30 constant.

31 These findings contribute new insights to the mechanisms that guide our ability to coordi-
32 nate actions toward moving objects. We created a situation that distinguished representation
33 abilities from the abilities involved in trying to coordinate an action toward an object. These
34 data provide evidence that occlusion imposed a representational cost (e.g., that there is
35 significantly less reaching during occlusion compared to visible trials). Our findings repli-
36 cate and extend research by Spelke and von Hofsten (2001) and Jonsson and von Hofsten
37 (2003) to 9-month-old infants, an age where infants typically succeed in the Piagetian search
38 tasks for hidden objects. Furthermore these findings complement the research from Grede-
39 bäck and von Hofsten (2004) on visual tracking of occluded objects. Gredebäck and von
40 Hofsten did a longitudinal study on infants between 6 and 12 months of age and found no
41 qualitative shifts in their ability to make predictive eye movements in anticipation of an
42 object emerging from the side of an occluder.

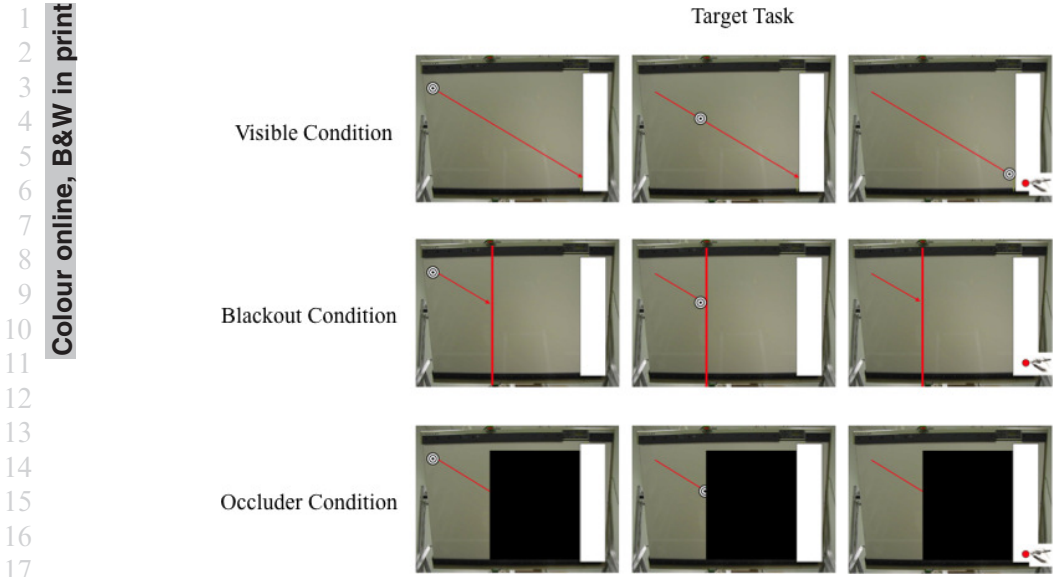
43 The visibility hypothesis, that infants' object representations are more precise when
objects are visible than when they are hidden, could explain why infants reach predictively

1 for moving, visible objects and look predictively at moving, temporarily occluded objects,
2 but fail to reach predictively for moving, temporarily occluded objects. To catch a moving
3 object, one must represent considerable information about the object, including its size,
4 shape, path, and speed of motion. When the object is continuously visible, infants' represen-
5 tations evidently are adequate to guide appropriate reaching. However, when the object is
6 hidden, their representation of its properties may become too imprecise to represent and/or
7 the cost associated with guiding effective attempts to intercept it overwhelm their ability.
8 The visibility hypothesis is similar to the graded representation hypothesis (Shinskey &
9 Munakata, 2003; 2005) in that both center on the idea that representations are noisy but
10 become less noisy over development.

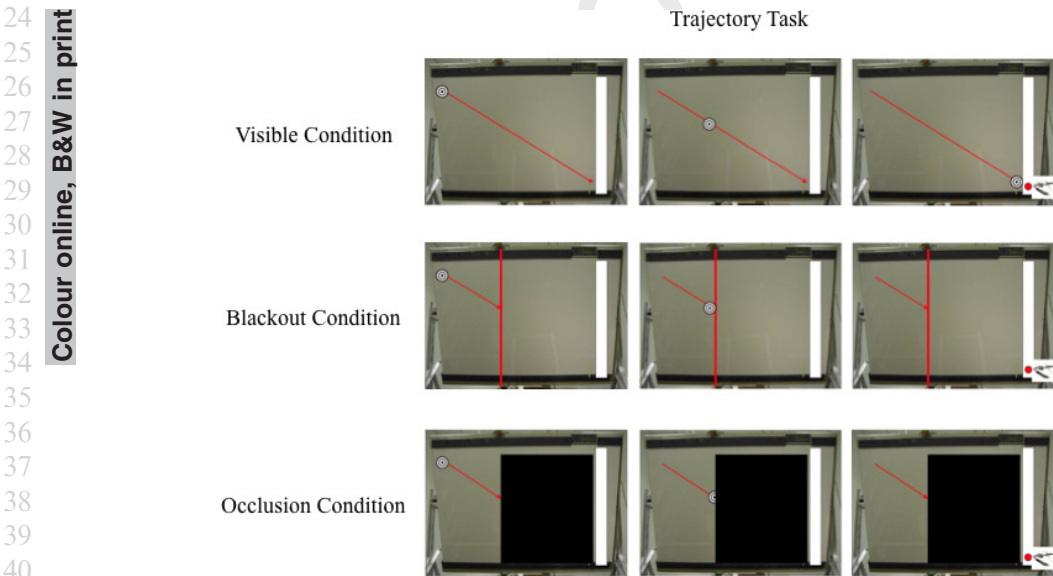
13 3. Experiment 2

15 Because our analysis of object representations in infants is based on properties of object
16 representations first uncovered in adults, this analysis should apply not only to the object
17 representations formed by infants but also to those formed by adults. To test this prediction,
18 we conducted an experiment on adults, modeled on the studies of predictive reaching in
19 infants. We measured participants actions toward a moving object under three conditions:
20 continuously visible, hidden by darkness, or hidden by occlusion. By presenting the same
21 adults with two manners of hiding the object (darkness and occlusion), we build a bridge to
22 the infant studies where performance in predictive reaching during darkness is significantly
23 better than predictive reaching during occlusion (Jonsson & von Hofsten, 2003; Spelke &
24 von Hofsten, 2001). The significant difference between darkness and occlusion is likely to
25 be due to the nature of the representation because the hidden portion of the trajectory is
26 matched across conditions in terms of position and duration. In addition, the same action is
27 required in both conditions. Based on the notion that there are similarities in the nature of
28 the representation over development, we predict that adults should show a similar pattern of
29 results, namely performance in visible trials will be the best and performance in darkness
30 will be significantly better than the occlusion condition.

31 The adults stood on the right side of the display used in the reaching studies with infants.
32 Because adults were likely to perform at ceiling on the conditions presented to infants, three
33 changes were made to increase the task difficulty: We doubled the speed of object motion
34 (60 cm/s), increased the duration of interrupted visibility (1 s) and showed a variety of linear
35 trajectories (6). Adults were randomly assigned to one of two conditions. One task
36 involved predictive reaching—to mark a moving *target* with a marker held in their hand
37 (Fig. 4), and the other task involved predicting the trajectory of a linear path after viewing
38 only a portion of the *trajectory* (Fig. 5). We had identical predictions for the target and tra-
39 jectory conditions. The rationale for the adult study was to test for parallels in infants' and
40 adults' abilities to coordinate actions and intercept a moving target. If there are similar sig-
41 natures, then adults' performance should be the best in the visible trials, less accurate in the
42 blackout trials, and the least accurate in the occlusion trials.



18 **10** Fig. 4. Schematic of the set up used in Experiment 2 for the target task. The adults stood at the right side of the apparatus with their hand holding a marker under the white cloth that hung over the right portion of the stage. The concentric circles are the target that participants tried to mark. The diagonal red arrow represents one of the six possible linear trajectories that the target traversed. In the blackout condition, the vertical red line represents the light beam that extinguished the room lights for 1 s when the target passed through the beam. In the occlusion condition, the black square represents the size and position of the occluder.



41 **11** Fig. 5. Schematic of the set up used in Experiment 2 for the trajectory task. This condition was similar to the target task in every respect except on the right side of the board the cloth was replaced with white tape and participants were asked to mark a spot on the tape where they predicted the trajectory would cross the tape.

1 In the *target* task, the toy used in the infant studies was replaced with a platform that was
2 parallel to the white board, on the platform we glued a piece of paper that had concentric
3 circles like a bulls-eye target. The participant's task was to stand on the right side of the
4 white board with a pen in hand, view the linear path of the object, and tap the target in the
5 center when it reached the right side of the board. The task was challenging because the tar-
6 get was constantly moving and therefore available only briefly. We tallied the amount of ink
7 marks on the target for each condition.

8 In the *trajectory* task, a separate group of participants viewed the linear trajectory and
9 marked the point at the right edge of the board where the object should intercept the tape
10 stuck to the board. We tallied the distance between the predicted and the actual position of
11 the trajectory.

12 3.1. Methods

13 3.1.1. Participants

14 The participants were 28 adults 12 male and 16 female (range = 18–43 years,
15 $M = 22$ years). Twelve of the adults were assigned to the *target* condition the remaining 16
16 were assigned to the *trajectory* condition. Adults were paid \$5 for their participation.
17

18 3.1.2. Display and apparatus

19 We used the same apparatus as Experiment 1. The toy that the infants reached for was
20 replaced with a foam core platform that was attached to a 10 cm wooden dowel. The
21 platform was circular and 11 cm in diameter with concentric circles on it.

22 The objects always moved in a linear diagonal path with constant velocity (60 cm/s).
23 There were six different paths presented in a random order. The first path was identical to
24 the path described in Experiment 1. The subsequent five paths had start points on the left
25 side of the board 14, 28, 56, 73, and 83 cm below the original. The endpoints were 14, 28,
26 56, 73, and 83 cm above the original respectively. Three of the paths started in upper half of
27 the board and ended in the lower half the other three started on the lower half of the board
28 and ended in the upper half. A photo-cell switch triggered the extinction of room light with
29 a timer set to the blackout period. The lights went out instantaneously. The occluder was
30 86 cm tall and 76 cm wide made out of black foam core. The occluder and blackout por-
31 tions were set up so that the object became hidden at exactly the same time and place across
32 conditions so the only difference across these conditions was the manner of hiding. The
33 period of hiding started approximately 40 cm into the trajectory.
34

35 In the *target* condition, there was a 20 cm wide white cloth that was attached to the top
36 and bottom edge of the white board. The participants held the marker in their hand under
37 the cloth so that they were forced to rely on the visible portion of the trajectory not the end-
38 point on the right side of the board. (Pilot studies revealed that performance without the
39 white cloth was at ceiling.) The target was under the white cloth and hence available to
40 marking for 600 ms. A different color pen was used on each trial in a block to insure that
41 two marks made on a single trial were not counted twice. The paper with the bulls-eye was
42 replaced after each block.
43

1 In the *trajectory* condition, there was a white piece of masking tape (5 cm wide) affixed
2 to the right side of the board so the participant could mark the tape where they predicted the
3 linear trajectory would intercept. The tape was replaced after each block to ensure that
4 guesses from prior conditions did not influence performance. During the visible trials, the
5 linear trajectory was 64 cm long and the experimenter removed the target as soon as it
6 stopped because pilot studies revealed that performance was at ceiling when the adults saw
7 more of the trajectory.
8

9 3.1.3. Design and procedure

10 The experimental design was the same for the target and trajectory conditions. Each adult
11 completed 36 trials presented in six blocks. There were three conditions (visible, blackout,
12 and occlusion) each presented two times. Within each block we presented six different lin-
13 ear trajectories. The order of the six trajectories was randomized. The blocks were presented
14 in a ABCCBA order to assess learning over the course of the experiment. The visible condi-
15 tion was always presented first and last. The presentation of the two hidden conditions
16 (blackout or occlusion) was counterbalanced across subjects.
17

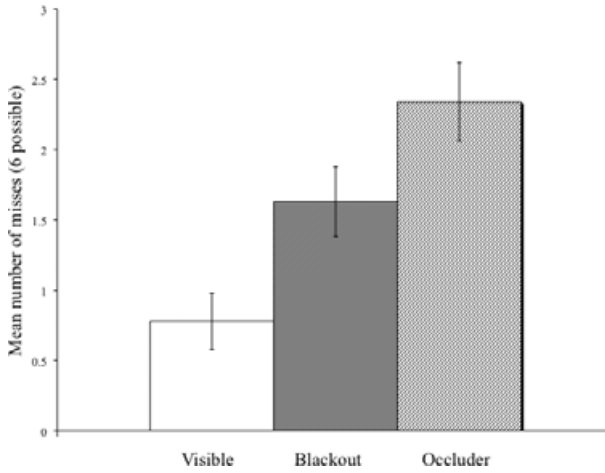
18 3.1.4. Coding

19 For the *target* condition, the coding was simply a tally of the amount of pen marks on the
20 target across conditions subtracted from the total possible (6) to yield the number of misses.
21 For the *trajectory* condition the coding was a measurement of the absolute distance between
22 the mark on the tape and the correct intercept. The absolute difference did not take into
23 account whether the mistake was above or below the correct location. All of the coding was
24 verified by a second person independently and discrepancies were coded another time until
25 agreement was obtained.
26

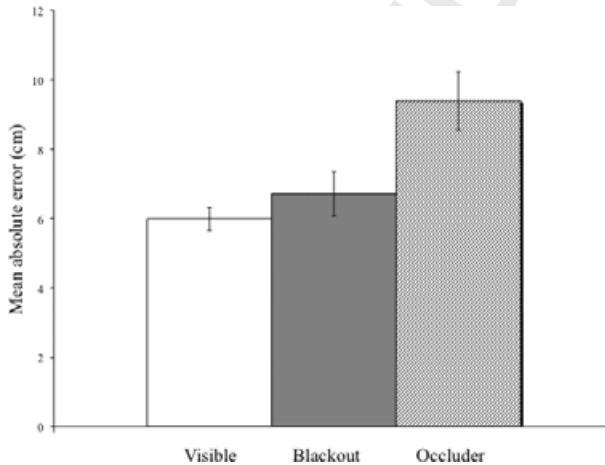
27 3.2. Results

28
29 Figs. 6 and 7 reveal that the results went in the predicted direction across conditions in
30 both the target and trajectory conditions. The *target* data were analyzed by repeated mea-
31 sures ANOVA with within-subject factors of condition (visible, blackout, and occluder) and
32 block (first and second). There was a significant main effect for condition [$F(2, 30) = 14.14, p < .001, \eta^2 = 0.49$] demonstrating that the lowest number of misses were in
33 the visible condition ($M = 0.78$) followed by the blackout condition ($M = 1.63$) and then the
34 occlusion ($M = 2.34$) condition. Further t-tests revealed that all conditions were signifi-
35 cantly different from each other [visible vs. blackout $t(15) = 3.01, p < .009$; visible versus
36 occlusion $t(15) = 5.17, p < .001$; blackout vs. occlusion $t(15) = 2.40, p < .03$].
37

38 The *trajectory* data were analyzed in the same manner as the *target* condition. There was
39 a significant main effect for condition [$F(2, 22) = 13.27, p < .001, \eta^2 = 0.55$] demonstrating
40 that the least amount of error was in the visible condition ($M = 5.99$), next was the blackout
41 condition ($M = 6.71$) and the most error was in the occlusion ($M = 9.39$) condition. Further
42 t-tests revealed that the occlusion condition was significantly different from the visible and
43 blackout conditions [visible vs. occlusion $t(11) = 4.62, p < .001$; blackout versus. occlusion



15 **12** Fig. 6. Results from Experiment 2 *target* task Average number of misses for visible (white), blackout (shaded),
16 and occlusion (lines) conditions. Error bars represent standard error.



32 **13** Fig. 7. Results from Experiment 2 *trajectory* task. Average absolute error for visible (white), blackout (shaded),
33 and occlusion (lines) conditions. Error bars represent standard error.

35 $t(11) = 3.65, p < .004$]. The visible and blackout conditions were not significantly different
36 [visible vs. blackout $t(11) = 1.19, p = .26$]. Surprisingly, there was a significant main effect for
37 block [$F(1, 11) = 22.64, p < .001, \eta^2 = 0.67$] demonstrating that performance got worse from
38 the first block ($M = 6.26$) to the second block ($M = 8.46$).

40 3.3. Discussion

42 There were two main findings from this experiment. First, adults had better perfor-
43 mance when the target was visible compared to when the target was hidden for a

1 portion of its trajectory. Second, there were significant differences in behavior depend-
2 ing on whether the object was hidden by darkness or occlusion. In both the target and
3 trajectory conditions, the performance in the blackout condition was significantly better
4 than performance in the occlusion condition.¹ Since the conditions were matched for
5 duration and position the difference in performance must be related to the manner in
6 which the object became hidden. This is the same pattern of results observed in experi-
7 ments testing infants and adults using multiple object tracking paradigms (Franconeri,
8 Pylyshyn, & Scholl, 2008; Jonsson & von Hofsten, 2003; Scholl & Pylyshyn, 1999;
9 Spelke & von Hofsten, 2001).

10 This finding supports the visibility and competition hypothesis, during the occlusion con-
11 dition the representation suffered a double loss of precision due both to its lack of visibility
12 and to competition from the visible occluder. In contrast, the object that was hidden by dark-
13 ness alone suffered loss of precision only because of its lack of visibility.
14
15

16 **4. General discussion**

17
18 The main conclusions from Experiment 1 are that infants' ability to reach for a moving
19 object is perturbed when the object becomes briefly occluded. Experiment 2 complemented
20 these results by showing that even adults reveal a disruption in performance during visible
21 trials compared to trials when the object became briefly hidden. Between the two types of
22 hidden trials, adult performance was significantly better in the blackout condition than the
23 occlusion condition. These findings suggest that there is something specific about the man-
24 ner in which an object is hidden that predicts performance. Together these findings suggest
25 that infants' and adults' capacities to represent objects have similar signatures over develop-
26 ment, in particular that representing an object hidden by occlusion is harder than represent-
27 ing an object hidden by darkness.

28 There is developmental change in the frequency of predictive reaching between 6 and
29 9 months—the younger infants made fewer predictive reaches than the older infants—but
30 this change does not appear to indicate a qualitative shift. The effect of the occlusion did
31 not change across development, because all infants made fewer predictive reaches during
32 occlusion compared to visible trials. Together these findings suggest continuity across
33 development in terms of representing hidden objects and coordinating actions to intercept
34 them. In terms of measurement equivalence, we had to make the infant and adult tasks
35 different so that the adults did not perform at ceiling levels. However, the fact that both
36 infants and adults perform significantly better in darkness compared to occlusion is strik-
37 ing and confirms that there are similar signatures in this representational ability over
38 development.

39 Our findings accord with the analyses of predictive reaching of von Hofsten and his col-
40 laborators (Jonsson & von Hofsten, 2003; Spelke & von Hofsten, 2001). Indeed, a wide
41 range of studies are consistent with the thesis that object-directed reaching requires precise
42 representations, that the precision of object representations increases with age, and that pre-
43 cision declines at all ages when objects are out of view (the visibility hypothesis) and when

1 other objects compete for attention (the competition hypothesis). These interpretations are
2 consistent with research on reaching for stationary objects as well. In search tasks involving
3 stationary objects, there is typically no time pressure therefore one would expect the ability
4 to coordinate an action would play a smaller role. Indeed, a variety of reaching tasks involv-
5 ing stationary objects reveal cognitive performance at levels equivalent to looking para-
6 digms (Hespos & Baillargeon, 2006, 2008).

7 Our data revealed a decrement in performance when other objects competed for attention.
8 These findings are consistent with a theory proposed by Keen and her colleagues, whereby
9 children's failures at object-directed reaching tasks increase with the overall cognitive load
10 that the tasks impose (Berthier et al., 2001; Boudreau & Bushnell, 2000; Keen & Berthier,
11 2004; Keen, Carrico, Sylvia, & Berthier, 2003). They propose that infants have limited
12 information processing resources and succeed in an action task only when the combined
13 demands of the task do not exceed the resources. Task demands depend on the difficulties of
14 the physical reasoning involved as well as on the difficulties of the actions involved. Apply-
15 ing this account to our findings, suggests that the difference between conditions must result
16 from variation in representational demands, because the action demands were identical
17 across visible, blackout, and occlusion conditions. The data reported here nevertheless are
18 consistent with Keen's account, because inter-object competition is one form of cognitive
19 load, and indeed it impairs both infants' and adults' performance on our task.

20 Our findings accord with the evidence that human infants have a capacity to represent
21 occluded objects as early as two months of age (Hespos & Baillargeon, 2001b; Spelke et al.,
22 1992), and that the capacity undergoes no qualitative reorganization as children grow. The
23 evidence for ontogenetic continuity complements evidence for phylogenetic continuity in
24 the capacity to represent objects. In particular, non-human primates represent objects simi-
25 larly to human infants both in preferential looking and in object search tasks (Filion et al.,
26 1996; Hauser, MacNeilage, & Ware, 1996b; Santos, 2004). These findings mesh well with
27 the view that basic mechanisms of object representation are constant over much of evolution
28 and ontogeny.

31 Note

- 32
33 1. It is interesting to note that in the trajectory task the cost of hiding the object in
34 the blackout condition was minimal because the performance was not significantly
35 different between the visible and blackout conditions.
36
37

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39
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